

FORM PTO-1390 (Modified) (REV 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER <b>60174-028</b>	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR <b>10/019930</b>	
INTERNATIONAL APPLICATION NO. <b>PCT/EP00/06468</b>		INTERNATIONAL FILING DATE <b>7 July 2000 (07.07.00)</b>		PRIORITY DATE CLAIMED <b>9 July 1999 (09.07.99)</b>	
TITLE OF INVENTION <b>METHOD FOR PLACING A FUNCTIONAL ELEMENT; DIE, FUNCTIONAL ELEMENT; ASSEMBLY ELEMENT AND DIE ARRANGEMENT</b>					
APPLICANT(S) FOR DO/EO/US <b>BABEJ, JIRI</b>					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"><li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li><li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li><li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.</li><li>4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</li><li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2))<ol style="list-style-type: none"><li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li><li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li><li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li></ol></li><li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).<ol style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> is attached hereto.</li><li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li></ol></li><li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))<ol style="list-style-type: none"><li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li><li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li><li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li><li>d. <input type="checkbox"/> have not been made and will not be made.</li></ol></li><li>8. <input checked="" type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li><li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li><li>10. <input checked="" type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li><li>11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</li><li>12. <input type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</li></ol>					
Items 13 to 20 below concern document(s) or information included:					
<ol style="list-style-type: none"><li>13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li><li>14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li><li>15. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li><li>16. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li><li>17. <input type="checkbox"/> A substitute specification.</li><li>18. <input type="checkbox"/> A change of power of attorney and/or address letter.</li><li>19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825</li><li>20. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4)</li><li>21. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li><li>22. <input checked="" type="checkbox"/> Certificate of Mailing by Express Mail</li><li>23. <input type="checkbox"/> Other items or information:</li></ol>					

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.101) <b>10/019930</b>		INTERNATIONAL APPLICATION NO. <b>PCT/EP00/06468</b>		ATTORNEY'S DOCKET NUMBER <b>60174-028</b>	
---	--	--	--	--	--

24. The following fees are submitted:

**BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5) ) :**

<input checked="" type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO .....	<b>\$1040.00</b>	<b>CALCULATIONS PTO USE ONLY</b>	
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO .....	<b>\$890.00</b>		
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....	<b>\$740.00</b>		
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) .....	<b>\$710.00</b>		
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) .....	<b>\$100.00</b>		
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>		<b>\$1,040.00</b>	

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>		
Total claims	42 - 20 =	22	x \$18.00		<b>\$396.00</b>
Independent claims	2 - 3 =	0	x \$84.00		<b>\$0.00</b>
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>					<b>\$0.00</b>
<b>TOTAL OF ABOVE CALCULATIONS =</b>					<b>\$1,436.00</b>
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2.					<b>\$0.00</b>
<b>SUBTOTAL =</b>					<b>\$1,436.00</b>
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).					<b>\$0.00</b>
<b>TOTAL NATIONAL FEE =</b>					<b>\$1,436.00</b>
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>					<b>\$0.00</b>
<b>TOTAL FEES ENCLOSED =</b>					<b>\$1,436.00</b>
					Amount to be: refunded \$
					charged \$

a. ☒ A check in the amount of **\$1,436.00** to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed


c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **08-2789** A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Raymond E. Scott  
 HOWARD AND HOWARD ATTORNEYS, P.C.  
 39400 Woodward Avenue, Suite 101  
 Bloomfield Hills, Michigan 48304  
 Telephone: (248) 645-1483  
 Facsimile: (248) 645-1568

  
 SIGNATURE

**Raymond E. Scott**  
 NAME

**22,981**  
 REGISTRATION NUMBER

**January 3, 2002**  
 DATE

**PATENT COOPERATION TREATY  
UNITED STATES PATENT AND TRADEMARK OFFICE  
NATIONAL PHASE ENTRY UNDER 35 U.S.C. 371**

Applicant: PROFIL VERBINDUNGSTECHNIK GmbH & Co. KG

PCT Serial No: PCT/EP00/06468

International Filing: 7 JULY 2000

Title: METHOD FOR PLACING A FUNCTIONAL ELEMENT; DIE,  
FUNCTIONAL ELEMENT; ASSEMBLY ELEMENT AND DIE  
ARRANGEMENT

Attorney Docket No: 60174-028

**EXPRESS MAIL CERTIFICATE**

"Express Mail" Label Number: EL 859179685US

Date of Deposit: January 3, 2002

I hereby certify that the attached Transmittal to the U.S. Designated/Elected Office filing under 35 U.S.C. 371; and

Check in the amount of \$1,436.00  
Postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to "Box PCT, Commissioner of Patents and Trademarks, Washington, D.C. 20231".

Constance McLean  
(Typed or printed name of person mailing paper or fee)

(Constance) McLean  
(Signature of person mailing paper or fee)

12/1/1

Profil-Verbindungstechnik GmbH & Co. KG

P 3789

**A method for the attachment of a functional element;  
a die; a functional element; a component assembly and a plunger  
arrangement**

5

The invention relates to a method for the attachment, in particular for the liquid-tight and/or gas-tight attachment, of a functional element, in particular a fastener element, to a sheet metal part.

10

The invention further relates to a die and a functional element which can be used in the method in accordance with the invention and to a component assembly which can be manufactured by the method in accordance with the invention.

15

Functional elements such as nuts or bolts are attached to sheet metal parts in the manufacture of automobiles, for example, in order to allow the most varied components to be joined to the sheet metal parts.

20

A method of the initially named kind for the attachment of a functional element to a sheet metal part is known from DE 196 47 831 A1 in which sheet metal material is brought into hooked engagement with an undercut feature of the functional element by means of a one-piece reforming die against which the functional element is pressed with the sheet metal part

25

lying therebetween.

Furthermore, it is known to connect metal sheets to one another without using additional joint elements by pressing the metal sheets onto a die

and drawing them by means of a plunger in the direction of a fixed anvil.  
Moveable lamella of the die, which are arranged to the side of the anvil,  
yield and move radially outwardly when the lower sheet metal part  
reaches the anvil. In this way a round collar is created which locks the  
5 metal sheets to one another.

The object of the invention is to provide a method of the initially named  
kind and apparatuses of the initially named kind, that is a die, a func-  
tional element, a component assembly consisting of a sheet metal part  
10 and a functional element attached thereto and a die arrangement, which  
ensure a good joint, which is as easy to manufacture as possible, between  
a sheet metal part and a functional element.

This object is satisfied in accordance with the invention methodwise in  
15 that by the functional element provided with a hollow head part being  
pressed against the sheet metal part supported by a die and, with a simul-  
taneous deformation of the hollow head part and a reforming of the sheet  
metal part into a reforming space defined by shaped parts of the die, the  
sheet metal material is formed into an undercut made by deformation of  
20 the head part, with the shaped parts being immovably held during the  
reforming, but being partly lifted out of the die for the removal of the  
functional element attached to the sheet metal part.

The attachment of the functional element to the sheet metal part is made  
25 in accordance with the invention by a reforming joining technique in  
which the undercut is not present in the head part of the functional ele-  
ment from the start, but is only created during the attachment thereof by  
deformation of the head part. Although both the sheet metal part and the

functional element undergo substantial deformation in this process, it is surprisingly possible to achieve a low-cost and reliable method which provides a high quality joint between the sheet metal part and the functional element and which can be performed in such a way that the sheet metal part is not pierced.

As a result, the sheet metal part is still absolutely liquid-tight and/or gas-tight following the attachment of the functional element and can thus also be used in environments in which such properties are indispensable.

10

It is, however, also possible to work with a pre-pierced sheet metal part if the functional element requires this or makes it meaningful, for example if the functional element is to be realised as a nut element, which is possible in principle.

15

The functional elements can be manufactured either in a known manner by cold forming or by other favourably priced methods.

The shaped parts of the die remain in a fixed position during the reforming, but are movably supported for the removal of the sheet metal part with the attached functional element. They can be replaced as parts subject to wear at favourable cost without having to replace the whole die. The dies can also be manufactured at a favourable price.

25 A preferred die for the performance of the method is characterized by the following features:

by a hollow body having an end face which is provided to support a sheet metal part and which merges into a space receiving an abutment element via a conically tapering wall, with the abutment element being spaced from the conically tapering wall to form an annular gap of wedge-shaped cross-section and with the end face of the abutment element adjoining the end face of the hollow body being set back from the end face of the hollow body and having a dome-like projection surrounded by an annular surface, and further characterised by a plurality, preferably from two to eight, in particular of four, shaped parts, preferably shaped parts of substantially the same design, which are arranged around a longitudinal axis of the die in the wedge-shaped annular gap and are supported both on the conical wall and also on the abutment element and which project into the shaped projections of the shaped parts through a reforming space formed between the shaped parts and the set-back end of the abutment element.

15

The functional element in accordance with the invention is characterised in that the functional element comprises a shaft part and a head part designed for a riveted joint to a panel member, in particular a sheet metal part, in that at least the head part is made hollow and preferably has at least substantially the same outer diameter as the shaft part.

20

The component assembly manufactured in accordance with the invention is designed such that a hollow head part of the functional element is deformed in order to form two annular bulges which project radially outwardly, which are spaced from one another and between which there is an undercut in which the sheet metal material is received in a form-locked manner and such that the sheet metal part extends into the undercut of the functional element.

25

The present invention is furthermore directed to a special plunger arrangement which is, in particular, designed to perform the insertion of functional elements without the risk of deforming the shaft part and, in particular, its thread cylinder. For this purpose, the plunger arrangement in accordance with the invention is characterised by the following features:

- 10 - by an outer plunger
- by an inner plunger which is displaceably arranged with respect to the outer plunger within a plunger passage of the outer plunger between a receiving position for the functional element and an insertion position for the functional element, with the functional element being able to be
- 15 inserted into the plunger passage preferably from the side, in the receiving position, and with the head part of the functional element projecting out of the plunger arrangement when in the insertion position; and
- by at least two segments supported by the outer plunger which preferably have shaped features at an inner side which can engage into the
- 20 shaped features of the shaft part of the functional element and which are movable between an open position remote from the shaft part of the functional element and a closed position in engagement with the shaped features of the shaft part.

25

Further preferred embodiments of the method in accordance with the invention and of the die in accordance with the invention, of the functional element in accordance with the invention, of the component assembly in

accordance with the invention and of the plunger arrangement in accordance with the invention, which each contribute to satisfying the underlying object of the invention, are set forth in the claims, the description and the drawing.

5

The invention is described below by way of embodiments with reference to the drawing. There are shown:

Fig. 1            an embodiment of a functional element, partly sectioned in  
10                a longitudinal direction, which can be attached to a sheet  
                 metal part by means of the method in accordance with the  
                 invention;

Fig. 2            the functional element of Figure 1 and the sheet metal part  
15                after the attachment of the member to the sheet metal part;

Fig. 3            an embodiment in accordance with the invention of a die for  
                 performing the method in accordance with the invention in  
                 a representation partly sectioned in a longitudinal direction  
20                in accordance with the sectional plane III-III of Fig. 4;

Fig. 4            the die of Fig. 3 in a plan view;

Figs. 5A-5H     a sequence of drawings which represent different stages of  
25                the joining method in accordance with the invention and  
                 which each show a view sectioned in a longitudinal direction  
                 through the functional element arranged in a setting

head and through the die in accordance with Figures 3 and 4; and

5 Figs. 6A-6C a sequence of drawings to explain a particularly preferred embodiment of a plunger arrangement in accordance with the invention.

The attachment of a functional element to a sheet metal part is nowadays normally performed in the working of sheet metal by means of a press or  
10 of a robot by the cooperation of a setting head and a die, with the die being mounted, for example, in a lower tool of a press, while the setting head is attached to an upper press tool or to an intermediate plate of the press. Other attachment possibilities are also possible. The die can, for example, be attached to the intermediate plate of the press and the setting  
15 head to the upper tool of the press. Reversed arrangements are also feasible where the die is attached to the upper tool and the setting head to the lower tool of the press or to the intermediate plate. It is, in addition, not absolutely necessary for the die and the setting head to be in a press; they could, for example, be moved towards and away from one another by a  
20 robot, or represent parts of a different kind of tool.

The respectively used setting head, or the tool associated with it, has, in a manner known per se, a frequently tubular hold down member which clamps the sheet metal part against the stationary end face of the die or  
25 against the upper side of the tool receiving the relevant die in each case. A particularly preferred embodiment of the functional element in accordance with the invention and of the die and of the method to insert this element will now be described with reference to Figures 1 to 6.

Figure 1 shows first of all a functional element 210, here in the form of a bolt element, with a shaft part 210b having a thread 211 and with a hollow head part 210a having at least substantially the same outer diameter as the shaft part 210b. It is pointed out that the shaft part 210b does not necessarily have to be executed with a thread 211, but can, as previously, have a design deviating from this which can be selected as desired to achieve the respectively intended function. The shaft part 210b can, for example, be made as a smooth guide spigot or as a carpet fastening pin with an annular groove allowing a snap connection to a carpet eyelet. It is particularly favourable with this element for, among other possibilities, the shaft part 210b and the head part 210a to have at least substantially the same outer diameters so that the functional element can be made at a favourable cost from bar stock or wire material or from a tubular section. However, it is not absolutely necessary for the shaft part 210b and the head part 210a to have the same diameter, rather substantial differences in diameter can be present, with this, however, generally meaning a greater effort in the manufacture of the element. In other words, the outer diameter of the shaft part could be larger or smaller than that of the head part.

As can be seen from Figure 1, the head part 210a has a cylinder bore 220 which forms a circularly cylindrical space 221 and can be made, for example, either by a drill or by a cold forming process. In this example, the space 221 ends in the direction towards the shaft part 210b shortly before the annular groove 260 representing the boundary to the shaft part 210b, and indeed in a transverse wall 262 perpendicular to the longitudinal axis, which is made slightly concave, and via smooth radii 265 into the wall

266. Alternatively to this, the transverse wall can have a planar or conical recess 262. However, this is not absolutely necessary. If the member is to be made of tube material, the bore 220 would also extend through the shaft part 210b, with, however, the diameter of the bore 220 in the region of the shaft part 210b then preferably being substantially smaller than the diameter of the bore 220 in the head part 210a so that only the head part of the functional element is deformed during the attachment to the sheet metal part 212.

10 The previously circularly cylindrical annular wall 266 of the head part 210a tapers at the end region 264 remote from the shaft part 210b into a rounded, bullet-like design or cigar shape 268, with the end 270 not being closed, but open, and defining an aperture 272 which is substantially smaller than the diameter of the cylinder bore 220. The end region 264 of the functional element 210 is, so to say, spherically rounded with a flat, open end face 270. As can be seen from Fig. 1, the hollow end region 274 of the element between the end face 270 and the aperture 272 is formed in the manner of a truncated cone, and indeed such that the end region 264 of the head part 210a has an annular, inclined surface 274 at the inside with an included cone angle of approximately 45°. The wall thickness of the hollow region of the head part 210a is at least substantially the same over the total length of this region. The reference numeral 236 indicates the central longitudinal axis of the functional element 210 and it can be seen that the shaft part 210b and the head part 210a are axially arranged relative to one another with respect to this central longitudinal axis 276. Although the head part 210a of the functional element is circular in cross-section in this embodiment, it is feasible to select a cross-sectional shape deviating from the circular shape, for example a polygonal shape or a

shape with longitudinal grooves or longitudinal ribs, in particular when an even better security against rotation is required in the installed state.

As stated, the functional element 210 can be made of bar stock, wire  
 5 material or tubular material, and indeed by a rolling process to produce the outer design features of the functional element, optionally in combination with a drilling or boring procedure to make the cylinder bore 220. Alternatively hereto, the element can be produced by a cold forming process or by a high-pressure reforming process, which is particularly possible  
 10 when tubular material is used as the starting material for the element.

When a high-pressure reforming process is used, the outer design features of the functional element, such as a thread, can be formed by the shaping of the form receiving the tubular section, that is a rolling process to produce the thread would then be superfluous.  
 15

Figure 2 now shows the functional element 210 in the installed state in the sheet metal part 212. It can be seen that the head part 210b is substantially deformed and is joined in a form-locked manner to a pot-like  
 20 recess 276 of the sheet metal part 212 formed by the attachment method, with the head part 210b not penetrating the sheet metal part 212, so that a water-tight connection is present in the sense that any water underneath the sheet metal part cannot reach the upper side of the sheet metal part through the sheet metal part around the functional element 210.

25

The component assembly in accordance with Fig. 2 will be described in more detail below.

The die in accordance with Figures 3 and 4 is used to attach the element to the sheet metal part. As can be seen from Figures 3 and 4, the die has four segments or shaped parts 216 which can be moved in the axial direction 222 of the die for removing the sheet metal part once the functional  
5 element has been attached.

In Figures 3 and 4 the shaped parts 216 each have an inclined, partly conical outer surface 217a which contacts a shaped surface 226 in the form of a truncated cone converging in an inclined manner towards the  
10 central longitudinal axis 222, with the shaped parts 216 having a lower side 278 extending perpendicular to the longitudinal axis 222 of the die 214 and arranged directly above an annular shoulder 280 of a centrally arranged abutment element 234, with the abutment element 234 in this example being pressed upwardly in Figure 3 by a compression coil spring  
15 228. The compression coil spring 228 is namely located in a cylindrical bore 282 of the abutment element 234 arranged coaxially to the longitudinal axis 222 and its one end presses on the closed end of the bore 282 at the abutment element 234 and its other end onto a lower tool of the press, with the die 214 being arranged in said lower tool. Alternatively hereto,  
20 the die 214 of Figure 3 can be provided with a base part at its lower end against which the lower end of the compression coil spring 228 would then be braced. Such a design would have the advantage that the die would then be a unit whose individual parts cannot be lost.

25 Four pins 284 project through the cylinder wall of the die 214 in the radial direction, with the free end 286 of each pin (only one is shown) projecting into a corresponding recess 288 of the respectively associated shaped part 216. The pins 284 in this way restrict the maximum travel outward of the

shaped parts (shown in Figure 5H) and hold the shaped parts 216 captive and in the desired radial arrangement in the die 214. The width of the respective recesses 288 in the respective shaped parts 216 corresponds at least substantially to the diameter of the respective ends 286 of the pins 284 so that the pins 284 guide the segments or shaped parts 216 when they return from the opened state of the die (in accordance with Figure 5H) to the closed state in accordance with Figure 3.

As can likewise be seen from Figures 3 and 4, the abutment element 234 has a cylindrically shaped part 234a above the annular shoulder 280 with an end 234b which forms the base of a substantially cylindrical reforming space 230 which is bounded in other respects by the shaped parts 216 (see also Fig. 5C). One notes that in this embodiment the end 234b of the abutment element 234 has a centrally arranged dome-like projection 234c which is surrounded by an annular surface 234d perpendicular to the central longitudinal axis 222.

In the closed state of the die, the radially inwardly directed partly circular cylindrical surfaces 217b of the shaped parts 216 contact the cylindrical outer surface of the upper part 234a of the abutment element. The shaped parts 216 are furthermore each provided in the region of their upper ends with a bead-like or nose-like projection 220, which faces the longitudinal axis 222 and with which sheet metal material of the sheet metal part 212 can be pressed in a manner to be described below into an undercut of the functional element 210 which is formed. In this embodiment, the nose-like projections 220 form the lateral boundary of the reforming space 230. Radially extending grooves 231 are worked into the end face 232 of the shaped parts 216 so that noses 233 lie therebetween, with the bases 235

Figure 5A shows the starting state in which the die 214 is located in the lower tool (301) of a press, a sheet metal part 212 is arranged above the die and the shaped part 210 is held in a schematically represented setting head 300, for example by an annular spring (not shown) made of plastic which ensures frictional contact between the shaft part 210b of the functional element 210 and a bore 302 of an outer plunger 303 of the setting head. One notes that the longitudinal axis 236 of the functional element 210 is aligned with the longitudinal axis 222 of the die 214 and, at the same time, the central axis of the bore 302 of an outer plunger 303 corresponds to that of the setting head 300. One notes also that all shaped parts 216 are in their lower position in accordance with Figure 3, that is the die 214 is closed in the starting position. The upper boundary of the respective recesses 288 of the shaped parts 216 lies directly – at a small distance – above the respective ends 286 of the respective pins 284. This position arises due to the force of gravity and the guidance by the pins 284. The compression coil spring 228 presses the abutment element 234

upwardly so that the annular shoulder 283 of the abutment element 234 contacts an annular shoulder 285 of a stepped bore 287 of the die 214 and the annular shoulder 280 of the abutment element 234 is arranged directly beneath the lower surface 278 of the respective shaped parts 216.

5 A plunger insert 304 is located in the outer plunger 303 of the setting head 300 and its lower end 306 presses onto the upper end 292 of the shaft part 210b of the functional element 210. Although the plunger insert or inner plunger 304 is movable with respect to the outer plunger 303 in the direction of the longitudinal axis 236, it has reached its lowest position with respect to the outer plunger 303 in Fig. 5A and in the further  
10 Figs. 5B to 5G. However, it can be drawn axially upwardly with respect to the inner plunger in order to receive a new functional element 210, as will be described below in more detail in connection with Fig. 6.

15 The die 214 is here located in a bore 308 of the lower tool 301 of a press, the upper side 310 of said tool 301 being arranged flush with the end face 296 of the die and of the end faces 232 of the shaped parts 216. A plurality of stripper pins 314, which are upwardly biased by springs 312, are located in the lower tool 301 and support the sheet metal part 212 in its  
20 introduction into the press; however, they can be pressed downwardly due to the force exerted by a hold down member 316 of the setting head 300 when the press is closed so that the sheet metal part 212 comes into contact with the end face 296 of the die 214 and with the upper side 310 of the lower tool 301 in the region of the die and is immovably clamped  
25 there between the hold down member 316 and the die 214 or the lower tool 301.

Three such spring-biased stripper pins 314 can, for example, be provided which are arranged, for example, at equal angular intervals around the central longitudinal axis 222, with only the one stripper pin 314 being visible due to the sectional drawing.

5

The hold down member 316 is also biased in the direction towards the sheet metal part 212, and indeed by springs 218 which – like the spring 312 – are here indicated schematically as compression coil springs, even though other spring types can also be used which are very well-known in tool making.

10

In this example, three springs 316 are likewise arranged at equal angular intervals around the central longitudinal axis 222 so that the hold down member 316 is pressed evenly downwards under the force of these springs.

15

Figure 5B shows the first step of the joining method in which the setting head 300 has moved downwardly towards the die 214 in comparison with the representation of Figure 5A due to the closing movement of the press, so that the hold down member 316 has immovably clamped the sheet metal part 212 between it and the upper side 310 of the lower tool 301 and the upper side 296 and 232 of the die and the shaped parts 216. The stripper pins 314 have been pressed downwardly against the force of the spring 321 until their upper ends are flush with the upper side 310 of the lower tool. The end 270 of the functional element 210 has pressed the sheet metal part 212 onto the dome-like projection 234c.

20

25

The plunger 304 has further pressed the end 270 of the functional element 210 and the sheet metal material into the reforming space 230 and thus moved the abutment element 234 slightly downwardly against the force of the spring 228 so that the reforming space 230 has become  
5 deeper. In doing so a recess 212a is formed there in the sheet metal part 212. The annular shoulder 280 moves away from the lower side 278 of the shaped parts 216 and the annular shoulder 283 likewise moves away from the annular shoulder 282. The force which is exerted on the sheet metal part 212 via the setting head and the functional element does not result in  
10 a movement of the shaped parts 216 as these always remain in the same position up to the completion of the attachment of the functional element to the sheet metal part 212. As the press continues to close, the inner plunger 304 and the outer plunger 303 move lower with respect to the hold down member 316 and in so doing press the abutment element 234  
15 into its lowest position in accordance with Fig. 5C, with the recess 212a in the sheet metal part becoming deeper.

As the closing movement of the press continues, the recess 212a of the sheet metal part 212 becomes wider at its lower end without any axial  
20 escape movement of the shaped parts 216 taking place, until finally the sheet metal part 212 is clamped between the arched projection 234c of the abutment element 234 and the end 270 of the head part 210a of the functional element 210, with the arched projection 234c generating a dent  
212b directed slightly upwardly in the sheet metal part so that this is  
25 slightly pressed into the aperture 270 at the end of the functional element.

In a further stage of the closing movement of the press, the force exerted on the head part 210a of the functional element 210 results in a deforma-

tion of its lower end so that the form is produced which is shown in Fig. 5D. It can be seen that the sheet metal part 212 has laid itself around the rounded edges of the nose-like projections 220, that the end 270 of the head part 210a of the functional element has partly laid the sheet metal  
5 part around the arched projection 234c at 212b and that during these deformations the end of the head part 210a is itself deformed so that the functional element is expanded slightly radially outwardly in the region of its lower end, while the region around the previous end face 270 has been deformed axially inwardly and the aperture 270 is now located in a con-  
10 cave region 210c of the head part 210a.

The representation of Figure 5E is similar to the representation of Figure 5D, but here a more advanced deformation of the sheet metal part 212 can be seen.

15 The lower end of the outer plunger 303 of the setting head 300 of the die 214 has further approached the sheet metal part 212. It can be seen in Figure 5E that the cylindrical wall region of the head part 210 has now been compressed such that a bulge 320 projecting radially outwardly has  
20 formed due to a folding of the cylindrical wall region. It can also be seen that a further pronounced annular fold or annular bulge is present at the point 322 where the axially directed wall of the head part 210a merges into the radially inwardly directed region 212b which is formed from the former end 264 of the head part 210a.

25 On reaching the state in accordance with Figure 5F, the compression of the head part 210a of the functional element 210 is now so large that the annular fold at the point 322 has now moved beneath the radially in-

wardly directed projections 220 of the shaped parts within the deformation space 230 and the sheet metal part 212 has bent correspondingly around these projections. Furthermore, the lower end of the head part 210a has pressed the sheet metal part against the annular shoulder 234d of the abutment element so that the deformation space 230 is almost completely filled. The annular fold 320 is even more pronounced in comparison with Fig. 5E and the annular fold 326 of the sheet metal part 212 around the projections 220 is already trapped in the radially inwardly directed annular bulge 324 being formed between the annular fold 320 and the annular fold 322.

The further annular fold 328 of the sheet metal part in the region of the transition from the wall of the cup-like recess in its base region follows the annular fold 322 of the head part 210a of the functional element so that a form-locked joint is also present here between the sheet metal part and the functional element. The lower end 330 of the outer plunger has further approached the die 214 in the state of Fig. 5F.

The closing movement of the press continues until, as shown in Figure 5G, the closed state of the press is reached, the sheet metal part 212 is completely captured between the end of the outer plunger 303 of the setting head 300 and the end 296 of the die 214 adjoining it or the ends 232 of the shaped parts 216. The result of this further compression movement is that the annular undercut 324, in which the annular ring fold 325 of the sheet metal part is fixedly clamped, has formed completely between the annular folds 320 and 322 in the region of the bulge-like projections 220. Furthermore, the annular fold 322 of the head part 210a is fixedly clamped within the annular fold 328 of the sheet metal part. The

plunger projection 342 at the end 330 of the outer plunger 303 has compressed the annular fold 320 such that the two material layers of the annular fold 320 and/or the annular bulge formed thereby fixedly contact one another, with the upper side 321 (see Fig. 2) of the annular fold 320  
5 being located beneath the plane of the flat sheet metal part 212.

The compression caused by the plunger projection 342 has also resulted in the sheet metal of the sheet metal part being formed into the recesses 231 of the shaped parts 216, while the noses 233 therebetween have  
10 penetrated into the sheet metal part. This deformation of the sheet metal part 212 leads to a corresponding deformation of the material of the ring fold 320 so that an intermeshed engagement is present in this region between the sheet metal material and the material of the head part 210a of the functional element which serves as security against rotation. Where  
15 the shaped parts 216 have recesses 231, the completed component assembly has noses 334 which can be seen best in Fig. 2, but also in Fig. 5H. Recesses 316 are located therebetween which are formed by the noses 233 of the shaped parts. An intimate connection has therefore taken place in the region of the head part 210a so that the form-locked joint to the  
20 sheet metal part is a really secure joint, that is both secure against rotation and secure against forces acting in an axial direction.

When the state in accordance with Figure 5G has been reached, the press opens and moves to the state in accordance with Fig. 5H. The component  
25 assembly (210 + 212) can now be removed. When the press opens, the shaped parts 216 move up and pivot outwardly under the effect of the spring 312 and of the stripper pins 314 and/or of an engagement between the setting head 300 and the shaft part 210b so that the open starting

position of the die is reached. After the component assembly has been removed, the shaped parts fall back into the starting position of Fig. 5A, the cycle just described is repeated with a new functional element 210 and a new sheet metal part 212. The press is opened so far that the component assembly formed in this way, which is shown alone in Figure 2 to a large scale, can be removed from the press or transported to the next station in the progressive tooling, if such is used. When the press is opened, the abutment element 234 returns to the position of Fig. 5A due to the force of the spring 228.

Although the springs 228, 312 and 318 are shown here as compression coil springs, they can be replaced by different springs, for example by fluid pressure springs, which are well known per se.

If, as mentioned above, the functional elements 210 are provided with a non-circular cross-section in the region of the head part 210a, for example with a polygonal cross-section or with ribs and/or grooves, the method is carried out exactly as described above. The sheet metal material is joined intimately and in a form-locked manner to the outer form of the head part, whereby increased security against twist-out is to be expected. With such a design, care must be taken that the features of shape on the outer side of the head part 210a are not so pronounced that they impermissibly damage the sheet metal material. Here, too, an adhesive could be used, for example a pressure-sensitive adhesive which is applied to the head part 210a of the functional element and activated under pressure and which leads to a bonded joint between the sheet metal part and the functional element.

It is moreover possible to pre-pierce the sheet metal part at the point of application of the functional element, whereby the edge of the piercing will come to rest between the folds 320 and 322. The piercing could also be performed such that when the functional element is made as a nut element, which is generally possible, an electrically conductive connection, i.e. a connection to earth in accordance with German patent application 198 48 617.0, can be achieved. To realise a nut element, it would only be necessary to make the shaft part 210b hollow and to provide it with an internal thread, which can be done before or after the attaching to the sheet metal part, for example subsequently by means of a thread-forming or thread-cutting screw. In the embodiment as a nut element, the corresponding screw can be screwed into the element either from the shaft part side of the metal sheet part or from the opposite side of the sheet metal part.

15

Fig. 6 now shows a possible plunger arrangement 400 in detail which can be used advantageously in place of the plunger arrangement 303, 304 in accordance with Fig. 5.

The outer plunger 403 is provided with an inner bore 402 which is arranged coaxially to the longitudinal axis 405 and displaceably receives the inner plunger 404. A supply passage 406 is shown on the right-hand side of the sectional drawing in accordance with Figure 6A through which functional elements 210 are led from a feed device (not shown) into the plunger passage formed by the bore 402. One notes that the longitudinal axes 236 of the individual functional elements are parallel to the longitudinal axis 405 of the plunger passage 402 and that the individual functional elements are arranged in rows contacting one another. However,

25

due to the dimensions of the plunger passage 402, only one functional element 210 at a time can be located in the plunger passage 402.

When the press is opened, the outer plunger 403 is displaced downwards  
 5 with respect to the inner plunger 404, usually under the pressure of a  
 corresponding spring (not shown), until the end face 408 of the inner  
 plunger 404 approximately reaches the level of the upper boundary of the  
 supply passage 406 so that a functional element 210 can be inserted into  
 the plunger passage 402 by pressure in the direction of the arrow 410.

10

The outer plunger 403 is made in a plurality of parts in this embodiment  
 and comprises a lower annular part 412 which is fastened to an upper  
 part 414 by screws (not shown). The lower annular part 412 has a central  
 aperture 416 with an annular wall 418 of circularly cylindrical shape  
 15 which merges into a conical region 420. Both the circular cylindrical  
 region 419 and the conical region 420 are arranged concentrically to the  
 longitudinal axis 405. The upper part 414 of the outer plunger 403 is  
 provided with a conical recess 422 which merges into the plunger passage  
 402 via an annular shoulder 424. The conical region 422 and the annular  
 20 shoulder 424 are also arranged concentrically to the longitudinal axis 405  
 of the plunger arrangement.

25

In this example, three segments 426, which are arranged at equal angular  
 intervals around the central longitudinal axis 405, are located in the  
 region between the upper part 414 and the lower part 412 of the plunger  
 arrangement 403. The three segments 426, of which only two can be seen  
 in Fig. 6, together form a receiver 430 arranged coaxially to the longitudi-  
 nal axis 405 for a respective functional element 210. The lower surfaces

432 of the segments 426 pointing radially inwards are made as a segment of a thread cylinder which is designed to be complementary to the thread cylinder 211 of the shaft part 210b of the functional elements 210. The upper surfaces 434 of the segments 426 pointing radially inwards together  
 5 form a passage 436 having a diameter which is somewhat smaller than the outer diameter of the head part 210a of the respective functional elements 210. The radially outer surfaces 438 of the segments 426 are designed as partly conical surfaces which are complementary to the conical surface 422 of the corresponding recess of the upper part 414 of the  
 10 outer plunger 403. The axially upper surfaces 440 of the segments 426 are designed complementary to the annular shoulder 424 so that in the position of Fig. 6A, the partly conical surfaces 438 of the segments 426 and the partly circular surfaces 440 fully contact the respective opposing surfaces of the outer plunger 403, that is the conical surface 422 and the  
 15 annular shoulder 424. In this position, the through passage 436 formed by the segments 426 and concentric to the longitudinal axis is made such that it is smaller in diameter than the outer diameter of the head part 210a of the functional element 210. The respective functional element 210 can thus initially not fall between the segments 426, but is rather sup-  
 20 ported at the upper end of the segments 426 as is shown in Fig. 6A.

The upper region of the respective segments 426 merges into a partly cylindrical wall part 444 via a partly conical surface 442. The partly conical surfaces 442 of the segments 426 are opposite the conical surface 420  
 25 of the lower part 412 of the plunger arrangement 400 in the position in accordance with Fig. 6A and are spaced from this surface 420. The partly cylindrical surfaces 444 of the segments 426 are opposite the partly cylin-

drical surface 418 of the lower part 412 of the plunger arrangement 400 and are radially spaced therefrom in each case.

To ensure that the segments 426 always return to the centred starting position of Fig. 6A, tappets 448 biased by springs 446 are provided whose  
 5 axes 450 are inclined with respect to the longitudinal axis 405 of the plunger arrangement 400 and perpendicular to the conical surface 420 of the lower part 412 of the plunger arrangement 400. The spring bias causes the tappets 448 to be pressed against the partly conical surfaces  
 10 442 of the segments 426 contacting them directly such that when the press is open, these always assume the position shown in Fig. 6A. The spring bias is not very strong.

If the press is now closed, the inner plunger 404 is pressed downwards  
 15 with respect to the outer plunger 403 and in this process presses the respective functional element 210 located in the plunger passage 404 against the upper end face 440 of the segments 426. As a result of the sloped entrance to the passage 436 and the correspondingly inclined outer surface in the region of the lower end face 270 of the respective functional  
 20 element 210, the force exerted on the inner plunger 404 is sufficient to press the segments downwards in the axial direction 405 and radially outwards so that they press the tappets 448 downwards until the partly conical surfaces 442 come into contact with the conical surface 420 of the lower part 412 of the outer plunger 403. The radially outwardly directed  
 25 movement of the segments 426 causes the inner diameter of the passage 436 bounded by these segments to increase so that the respective functional element located in the plunger passage 402 is pressed into the passage between the segments 426 under the force of the inner plunger



be made hollow, the cylindrical projection 452 of the inner plunger 404 can be designed accordingly and can extend via an annular shoulder (not shown) pressing onto the end of the functional element 210 into the inner bore of the shaft part so that the pressing forces can be transmitted to the functional element 210 without any damage to this element by the pressing together of the walls of the hollow shaft part needing to be feared, as this element is supported by the extended projection of the inner plunger.

It should be pointed out at this point that the number of segments 426 is not limited to three. The minimum number required to realise this embodiment is two; however, three, four or more such elements can also be used, with preferably one respective tappet 448 with bias spring 446 being provided for each member.

The lower ends of the segments 426 can, if required, be provided with noses 454 which jointly form the plunger projection 342 of Fig. 5.

After the attachment of the functional element 210 in accordance with the drawing sequence of Fig. 5, the press opens again, whereupon either the sprung hold down member 316 and/or the shaped parts 216 exert a force on the sheet metal part 212 with the attached functional element 210, with said force being sufficient to draw the segments 426 downwards into the position of Fig. 6B in order to release the shaft part 210b. As the spring tension of the spring 446 is small, the release of the functional element when the press is opened is carried out without damaging the respective functional element 210 just attached.

After the release of the functional element 210 just attached, the opening of the press further results in the outer plunger 403, which is biased downwards by the spring force, being pressed downwards, while the inner plunger 404 is drawn upwards until it reaches the starting position where the lower end face 408 of the inner plunger 404 has reached the level of the upper boundary of the passage 466, whereby a new element is introduced into the plunger passage 402 by the pressure in the direction of the arrow 410. The working cycle then begins afresh with a new sheet metal part and with a new functional element 210, namely the functional element that is now located in the plunger passage 402.

Although the abutment element 234 is shown and was described as movable in Figs. 3 to 5, this is not absolutely necessary. The abutment element 234 could instead have a fixed position within the die 214 which would correspond to the lowest position in accordance with Figs. 5D, 5E, 5F and 5G.

The tool arrangement can be a station in progressive tooling where a strip of sheet metal is led through a plurality of stations to carry out a plurality of operations. The tool arrangement can, however, also be used in a punching press which manufactures a single part for every stroke. The attachment of the tool arrangement to a robot or another kind of tool is also possible.

Although the segments 426 are preferably provided with features of shape which engage into corresponding form features on the shaft part of the functional element, this is not required for some applications. The segments could, for example, have a purely partly cylindrical surface which

would be sufficient with a solid shaft part to hold the corresponding functional element by frictional contact. The plunger arrangement can furthermore be used in setting heads which are used for the attachment of other functional elements.

5

The functional elements described here can, for example, be made of all materials which reach the strength class 5.6. Such metal materials are usually carbon steels with a carbon content of 0.15 to 0.55%.

- 10 In all embodiments, all materials can also be named as examples for the material of the functional elements which reach strength values of Class 8 of the ISO standard in the context of cold forming, for example a 35B2 alloy in accordance with DIN 1654. The fastening elements formed in this way are suitable, among other things, for all commercial steel materials
- 15 for sheet metal parts capable of being drawn as well as for aluminium or their alloys. Aluminium alloys, in particular such with a high strength, can also be used for the functional elements, e.g. AlMg5.

- The trials carried out up to now have shown that when the material 35B2
- 20 is used, the ratio of the radial wall thickness of the head part to the outer diameter of the head part is in the region of between 0.15 and 0.2. Higher values are desired as they increase the yield forces and the pull-out forces. However, it must be ensured that the pressing-in forces do not lead to an impermissible deformation. With a diameter of 8 mm, a radial thickness of
- 25 1.2 mm has proved to be favourable.

Profil-Verbindungstechnik GmbH & Co. KG

P 3789

Claims

- 5 1. A method for the attachment, in particular of the liquid-tight and/or gas-tight attachment, of a functional element (210), in particular a fastener element, to a sheet metal part (212), characterized in that the functional element (210) provided with a hollow head part (210a) is pressed against the sheet metal part (212) supported by a die  
10 (214) and, with a simultaneous deformation of the hollow head part (210a) and a reforming of the sheet metal part (212) into a reforming space (230) defined by shaped parts (216) of the die, the sheet metal material is formed into an undercut (324) made by deformation of the head part (210a), with the shaped parts being immovably held  
15 during the reforming, but being partly lifted out of the die for the removal of the functional element attached to the sheet metal part.
2. A method in accordance with claim 1, characterized in that sheet metal material is brought into engagement with shaped features  
20 (231, 233), in particular of groove-like and/or rib-like shape, formed at the end faces of the shaped parts (216) adjacent to the sheet metal material by the press force applied to the functional element (210) during the reforming and in that both the sheet metal part (212) and the region of the head part (210a) above it are deformed  
25 thereby to form a security against rotation.
3. A method in accordance with claim 1 or claim 2, characterized in that the sheet metal material is first pressed into the undercut (324)

and preferably brought into engagement with the shaped features forming the security against rotation after the sheet metal part (212) has been at least partly formed into the reforming space (230) by the functional element (210) moved in the direction of a longitudinal axis (222) of the die (214) for the attachment to the sheet metal part and has, in particular, been provided with a collar-like or pot-like recess.

4. A method in accordance with at least one of the preceding claims, characterized in that the sheet metal part (212) is not perforated, i.e. is not pierced, at least in the region of the functional element (210) during its attachment to the sheet metal part (212).
5. A method in accordance with at least one of the claims 1 to 3, characterized in that a pre-pierced sheet metal part is used at the point of the attachment of the hollow head part (210a) of the functional element (210), with the opening being substantially smaller in diameter than the outer diameter of the hollow head part (210a).
6. A method in accordance with at least one of the preceding claims, characterized in that the shaped parts (216) are arranged in segment-like manner around an abutment element (234), which has a dome-like projection (234c), to form the reforming space (230), with the deformation of the cigar-shaped end of the hollow head part (210a) of the functional element being partly carried out by means of this projection (234c).

7. A method in accordance with at least one of the preceding claims, characterized in that the shaped parts (216) are partly lifted out of a conical seat of the die (214) and pivoted radially outwardly to release the component assembly comprising the sheet metal part (212) and the functional element (210).  
5
8. A method in accordance with any one of the preceding claims, characterized in that in the deformation of the hollow head part (210a) of the functional element, this is reformed into two annular folds or annular bulges (320 and 322) spaced from one another by the undercut (324).  
10
9. A method in accordance with claim 8, characterized in that the sheet metal material of the sheet metal part, which protrudes into the undercut (324), is also formed as an annular fold or annular bulge (326).  
15
10. A method in accordance with claim 9, characterized in that the sheet metal material of the sheet metal part, which is reformed into a pot-like shape, surrounds the deformed head part (210a) of the functional element (210).  
20
11. A method in accordance with claim 10, characterized in that the base region of the sheet metal part (212) reformed into a pot-like shape is given a convex shape facing towards the shaft part of the functional element.  
25

12. A method in accordance with claim 10 or claim 11, characterized in that the annular fold (322) of the head part (210a) of the functional element (210) adjacent to the base region is reformed into the corner region of the pot-like recess of the sheet metal part (212) which is formed between the base region and the side wall of the pot-like recess.
13. A die (214), in particular for use in a method in accordance with any one of the preceding claims, characterized by a hollow body (215) having an end face (296) which is provided to support a sheet metal part and which merges via a conically tapering wall (226) into a space (227) receiving an abutment element (234), with the abutment element being spaced from the conically tapering wall to form an annular gap of wedge-shaped cross-section and with the end face of the abutment element adjacent to the end face of the hollow body being set back from the end of the hollow body and having a dome-like projection (234c) surrounded by an annular surface (234d), and further characterized by several, preferably from two to 8, in particular four, shaped parts (216) preferably of substantially the same design which are arranged around a longitudinal axis (222) of the die (214) in the wedge-shaped annular gap and are supported both at the conical wall (226) and at the abutment element (234) as well as by a reforming space (230) which is formed between the shaped parts (216) and the set-back end of the abutment element and into which shaped projections (220) of the shaped parts (216) project.
14. A die in accordance with claim 13, characterized in that each shaped part (216) is arranged in segment-like manner like wedges of

cake around the longitudinal axis (222) of the die (214) around the abutment element (234) of the die (214).

15. A die in accordance with claim 13 or claim 14, characterized in that  
5 the shaped projections (220) of the shaped parts (216) have a nose-like shape when cut in a longitudinal plane of the die and are provided in the region of the end face of the shaped parts (216) arranged adjacent to the said end face of the hollow body.
- 10 16. A die in accordance with at least one of the claims 13 to 15, characterized in that the shaped parts (216) are provided in their end faces adjacent to the above-named end faces of the hollow body with shaped features, in particular with radially and obliquely extending  
15 grooves (331) and noses (333) lying therebetween, which serve in particular for the formation of a security against rotation between a functional element (210) and a sheet metal part (212) when the die (214) is used.
17. A die in accordance with any one of the claims 13 to 16, characterized  
20 in that each shaped part (216) is designed to be replaceable.
18. A die in accordance with any one of the claims 13 to 15, characterized in that the abutment element (234) has a cylindrical region adjacent to the shaped parts against which the shaped parts (216)  
25 abut.
19. A die in accordance with claim 18, characterized by an elastic resetting element (228) which biases the abutment element (234) in the

direction of the said end face of the hollow body (215) against an annular shoulder of this body.

20. A die in accordance with any one of the preceding claims 13 to 19,  
5 characterized in that the shaped parts (216) each have recesses (288) extending in the longitudinal direction of the die (214) into which pins (284) fixedly arranged in the hollow body of the die project and secure the shaped parts (216) against complete removal from the die during their movement for the release of the sheet  
10 metal part with an attached functional element.
21. A die in accordance with claim 20, characterized in that the axial length of the recesses (288) less the axial height of the pins (286) at least substantially corresponds to the maximally provided stroke of  
15 the shaped parts (216) in the axial direction of the die (214) for the release of the sheet metal part and permits a corresponding pivotal movement of the shaped parts (216).
22. A die in accordance with claim 20 or claim 21, characterized in that  
20 the width of the recesses (288) transverse to their axial direction corresponds to the diameter of the pins (286) penetrating into these.
23. A functional element for attaching, in particular, but not exclusively,  
25 for attachment in a liquid-tight or gas-tight manner, to a sheet metal part, in particular in accordance with a method in accordance with any one of the claims 1 to 12 and/or using a die in accordance with at least one of the claims 13 to 22, characterized in that the functional element 210 comprises a shaft part (210b) and a head

part (210a) designed for a riveted joint to a panel member, in particular to a sheet metal part (212) in that at least the head part (210a) is made hollow and preferably has at least substantially the same outer diameter as the shaft part (210b).

5

24. A functional element in accordance with claim 23, characterized in that the hollow head part (210a) has a preferably at least substantially partly spherical rounded shape (268) at its end remote from the shaft part, with said rounded shape ending in particular in an at least substantially circular aperture (270) at the end face which is preferably arranged in a plane perpendicular to the longitudinal axis (236) of the functional element.

10

25. A functional element in accordance with claim 23 or claim 24, characterized in that the open end face (271) of the element is rounded so as not to damage the component or the sheet metal part.

15

26. A functional element in accordance with claim 23 or claim 24, or claim 25, characterized in that the cylindrical side wall of the head part (210a) of the functional element is made compressible so that when the functional element is being attached, the wall can be deformed to form an undercut (326) into two radially outwardly projecting annular bulges or annular folds (320 and 322) spaced from one another.

20

25

27. A functional element in accordance with any one of the preceding claims, characterized in that the end face aperture (270) is provided with a wall tapering conically in the direction of the shaft part

(210b) with the included angle of the wall being in the region between 30° and 120°, preferably between 45° and 90°.

- 5 28. A functional element in accordance with any one of the preceding claims 23 to 27, characterized in that it is realized as a bolt element.
29. A functional element in accordance with any one of the preceding claims 23 to 27, characterized in that it is realized as a nut element.
- 10 30. A functional element in accordance with any one of the preceding claims 23 to 29, characterized in that it has been manufactured from a tube section by high-pressure forming.
- 15 31. A functional element in accordance with any one of the preceding claims 23 to 29, characterized in that it is manufactured from a tube section or from wire material or bar stock by a cold forming process.
- 20 32. A component assembly comprising at least one sheet metal part (212) and at least one functional element (210) in accordance with at least one of the claims 23 to 29, which is manufactured in accordance with a method in accordance with at least one of the claims 1 to 12 and/or using a die (214) in accordance with at least one of the claims 13 to 34, characterized in that a hollow head part (210a) of
- 25 the functional element (210) is deformed to form two annular bulges (320, 322) projecting radially outwardly and spaced from one another and between which an undercut (324) is present in which the sheet metal material is received in a form-locked manner and in that

the sheet metal part (212) extends into the undercut (324) of the functional element (210).

5 33. A component assembly in accordance with claim 32, characterized in that the sheet metal part (212) is not perforated and not pierced at least in the region of the functional element (210), i.e. is of the joint to the functional element (210).

10 34. A component assembly in accordance with claim 32 or claim 33, characterized in that when a sheet metal part (212) having a coated surface is used, said surface is not damaged, at least at the side opposite the functional element (210), by the attaching of the functional element (210) to the sheet metal part (212).

15 35. A component assembly in accordance with at least one of the claims 32 to 34, characterized in that the head part (210a) of the functional element (210) is at least partly arranged in a pot-like recess of the sheet metal part (212).

20 36. A component assembly in accordance with any one of the preceding claims 32 to 35, characterized in that the head part is fully surrounded by the sheet metal part with the exception of the area adjacent to the shaft part (210b).

25 37. A component assembly in accordance with any one of the preceding claims 32 to 36, characterized in that the sheet metal material of the sheet metal part that protrudes into the undercut (329) is formed as an annular fold or an annular bulge (326).

38. A component assembly in accordance with any one of the preceding claims 32 to 37, characterized in that the base region of the sheet metal part (212) formed in a pot-like manner has a convex shape (212b) facing towards the shaft part of the functional element.
39. A component assembly in accordance with any one of the preceding claims 32 to 38, characterized in that the annular fold (322) of the head part (210a) of the functional element (210) adjacent to the base region is reformed into the corner region of the pot-like recess of the sheet metal part (212) which is being formed between the base region and the side wall of the pot-like recess.
40. A component assembly in accordance with any one of the preceding claims 32 to 39, characterized in that the sheet metal part has oblique noses (334) extending in the radial direction and spaced from one another in the region where the pot-like recess merges into the general plane of the sheet metal part (212), with said noses (334) forming channels on the side adjacent to the sheet metal part, and in that the upper annular bulge (320) has corresponding noses which engage inside the channels with the noses (334) of the sheet metal part in a form-locked manner for the security against rotation.
41. A plunger arrangement in conjunction with a die in accordance with any one of claims 13 to 22 for use with a functional element (210) with a shaft part (210b) having shaped features and with a head part (210a), in particular a functional element in accordance with any one of the claims 23 to 31, characterized by

- an outer plunger (403);
- an inner plunger (404) which is displaceably arranged with respect to the outer plunger within a plunger passage (402) of the outer plunger between a receiving position for the functional element (210) and an insertion position for the functional element (210), with the functional element (210) being able to be inserted into the plunger passage (402), preferably from the side, when in the receiving position, and with the head part (210a) of the functional element projecting out of the plunger arrangement (400) when in the insertion position; and
- by at least two segments (426) supported by the outer plunger which preferably have shaped features (432) at an inner side (430) which can engage into the shaped features of the shaft part (210b) of the functional element and which are movable between an open position (Fig. 6B) remote from the shaft part (210b) of the functional element and a closed position (Fig. 6C) in engagement with the shaped features of the shaft part (210b).

42. A plunger arrangement in accordance with claim 41, characterized in that the outer plunger has an upper part (414) and a lower part (412) fixed to the upper part, with a conical recess (420) arranged concentrically to the longitudinal axis (405) of the die being provided in the lower part (412) and the segments (426) having corresponding conical faces (442); in that the segments are upwardly biased in the direction of the upper part (414), against said upper part (414), by spring-biased tappets (448) preferably set obliquely to the longitudinal axis (405) of the plunger arrangement, with their shaped features (432) in this position being able to engage in those (211) of the

functional element (210) urged forwardly under the pressure of the inner plunger (404); and in that the tappets (448) can be displaced back by means of a drawing force exerted on the functional element and drawing it out of the plunger passage and the segments and can move against the conical recess (420) of the lower part and thus into the open position to release the functional element.

43. A plunger arrangement in accordance with claim 42, characterized in that the upper part (414) of the outer plunger (403) has a conical recess (422) to center the segments and which is likewise arranged concentrically to the longitudinal axis (405) of the die and in that the segments (426) have further conical faces (438) which come into engagement with said conical recess (422) in the closed position.

(12) NACH DEM VERTRAG ÜBER DIE INTERNATIONALE ZUSAMMENARBEIT AUF DEM GEBIET DES  
PATENTWESENS (PCT) VERÖFFENTLICHTE INTERNATIONALE ANMELDUNG

(19) Weltorganisation für geistiges Eigentum  
Internationales Büro



(43) Internationales Veröffentlichungsdatum  
18. Januar 2001 (18.01.2001)

PCT

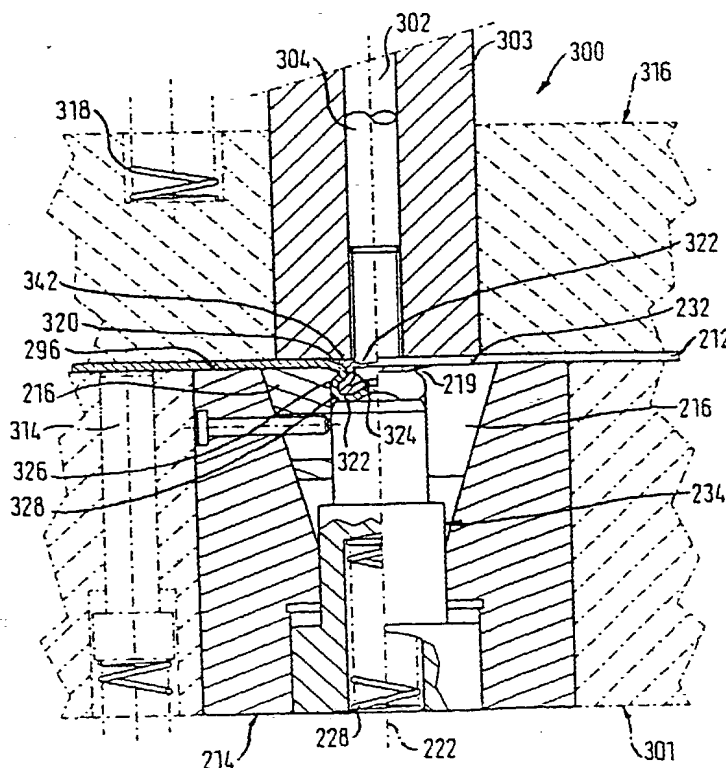
(10) Internationale Veröffentlichungsnummer  
**WO 01/03881 A1**

- (51) Internationale Patentklassifikation<sup>7</sup>: **B23P 19/06**, 199 35 923 7 30. Juli 1999 (30.07.1999) DE  
F16B 37/06 100 18 715.3 16. April 2000 (16.04.2000) DE
- (21) Internationales Aktenzeichen: PCT/EP00/06468 (71) Anmelder (für alle Bestimmungsstaaten mit Ausnahme von US): **PROFIL VERBINDUNGSTECHNIK GMBH & CO. KG** [DE/DE]; Otto-Hahn-Strasse 22-24, D-61381 Friedrichsdorf (DE).
- (22) Internationales Anmeldedatum: 7. Juli 2000 (07.07.2000)
- (25) Einreichungssprache: Deutsch (72) Erfinder; und
- (26) Veröffentlichungssprache: Deutsch (75) Erfinder/Anmelder (nur für US): **BABEJ, Jiri** [DE/DE]; Klosterweg 27, D-35423 Lich (DE).
- (30) Angaben zur Priorität: 199 32 023.3 9. Juli 1999 (09.07.1999) DE (74) **Anwalt: MANITZ, FINSTERWALD & PARTNER** GBR; Postfach 22 16 11, D-80506 München (DE).

[Fortsetzung auf der nächsten Seite]

(54) Title: METHOD FOR PLACING A FUNCTIONAL ELEMENT. DIE. FUNCTIONAL ELEMENT; ASSEMBLY ELEMENT AND DIE ARRANGEMENT

(54) Bezeichnung: VERFAHREN ZUR ANBRINGUNG EINES FUNKTIONSELEMENTES; MATRIZE; FUNKTIONSELEMENT; ZUSAMMENBAUTEIL UND STEMPELANORDNUNG



(57) Abstract: A method for placing a functional element, especially a fastening element, in an especially liquid-tight and/or gastight manner, on a metal part. The inventive method is characterized in that a functional element provided with a hollow head segment is pressed against the metal part (212) which is supported by a die (214) and the metal material is deformed into an undercut (324) by deforming the head segment when simultaneous deformation of the hollow head segment occurs and the metal part is shaped in a forming area defined by parts (216) of the die (214). Said parts (216) are kept in a stationary position during the forming process but are partially removed from the die to enable the functional element which is placed on the metal part (212) to be extracted therefrom. The invention also relates to a die, a functional element, an assembly element and a die arrangement.

(57) Zusammenfassung: Ein Verfahren zur insbesondere flüssigkeits- und/oder gasdichten Anbringung eines Funktionselementes, insbesondere eines Befestigungselementes, an einem Blechteil, zeichnet sich dadurch aus, daß das mit einem hohlen Kopfteil versehene Funktionselement

[Fortsetzung auf der nächsten Seite]

WO 01/03881 A1

FIG. 1

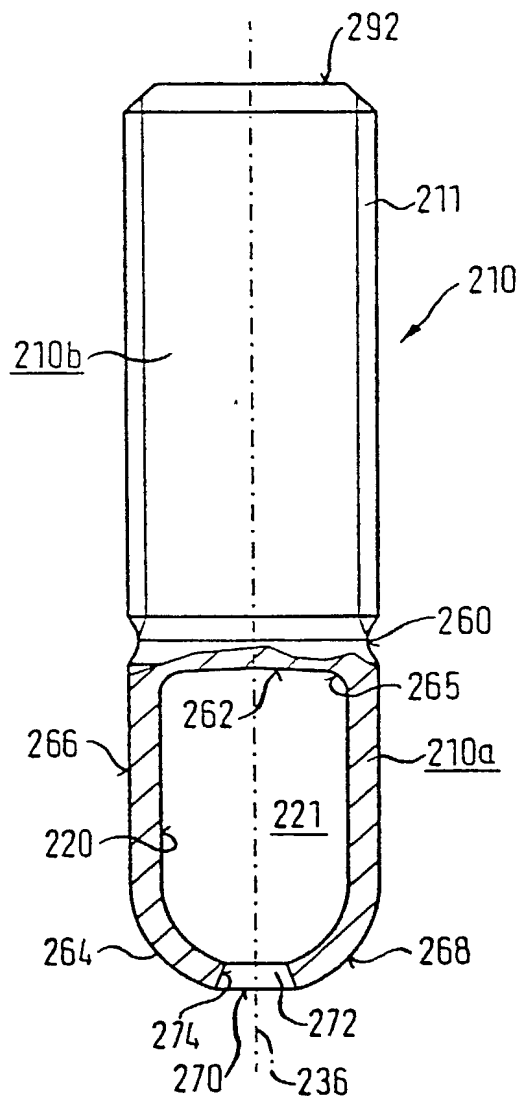


FIG. 2

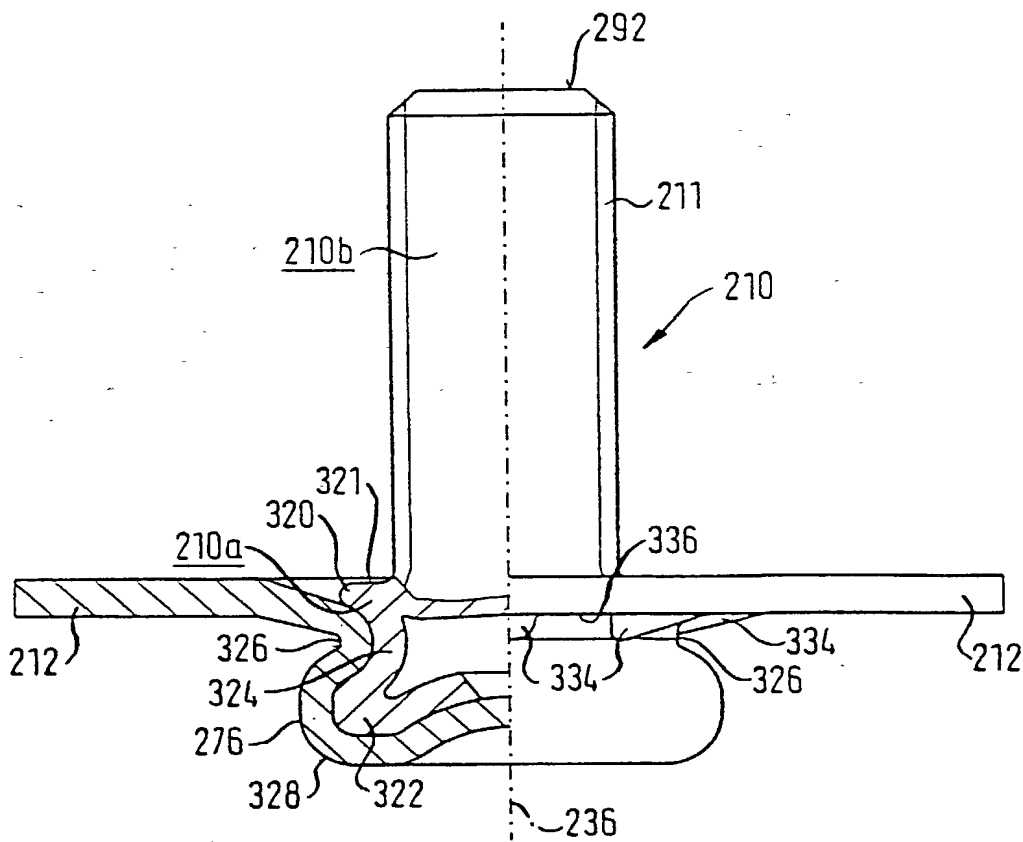


FIG. 3

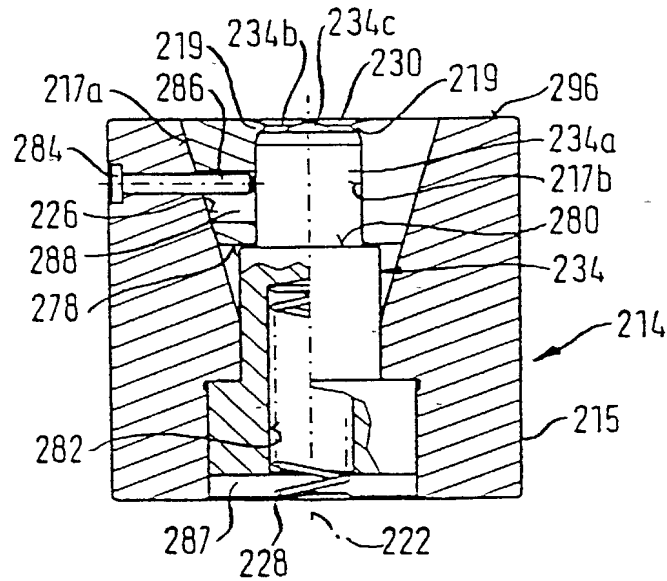
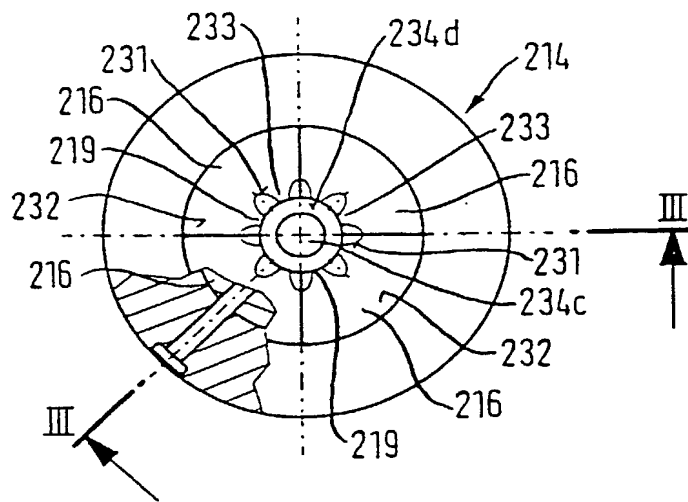


FIG. 4



4/12

FIG. 5A

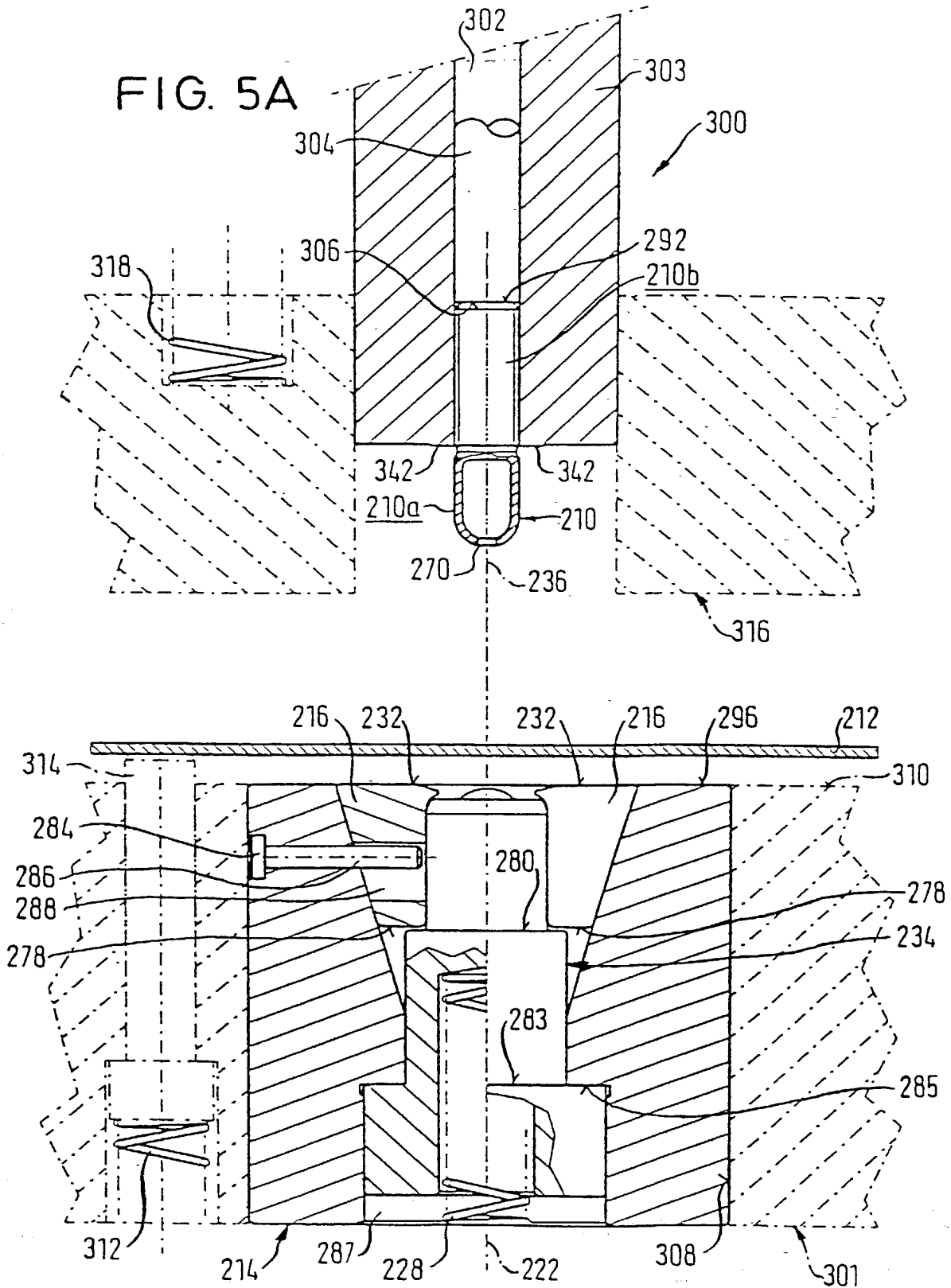
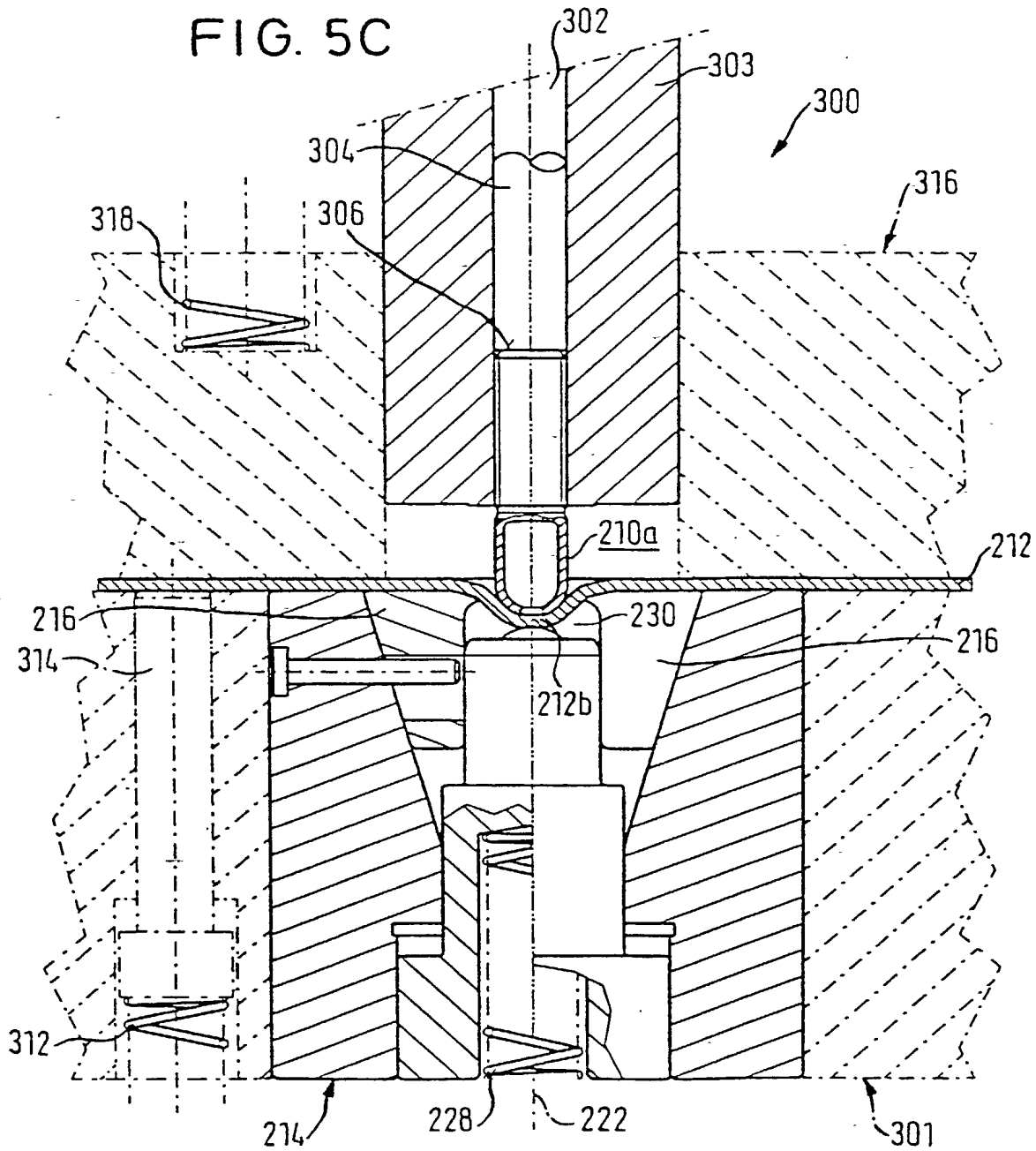




FIG. 5C



7/12

FIG. 5D

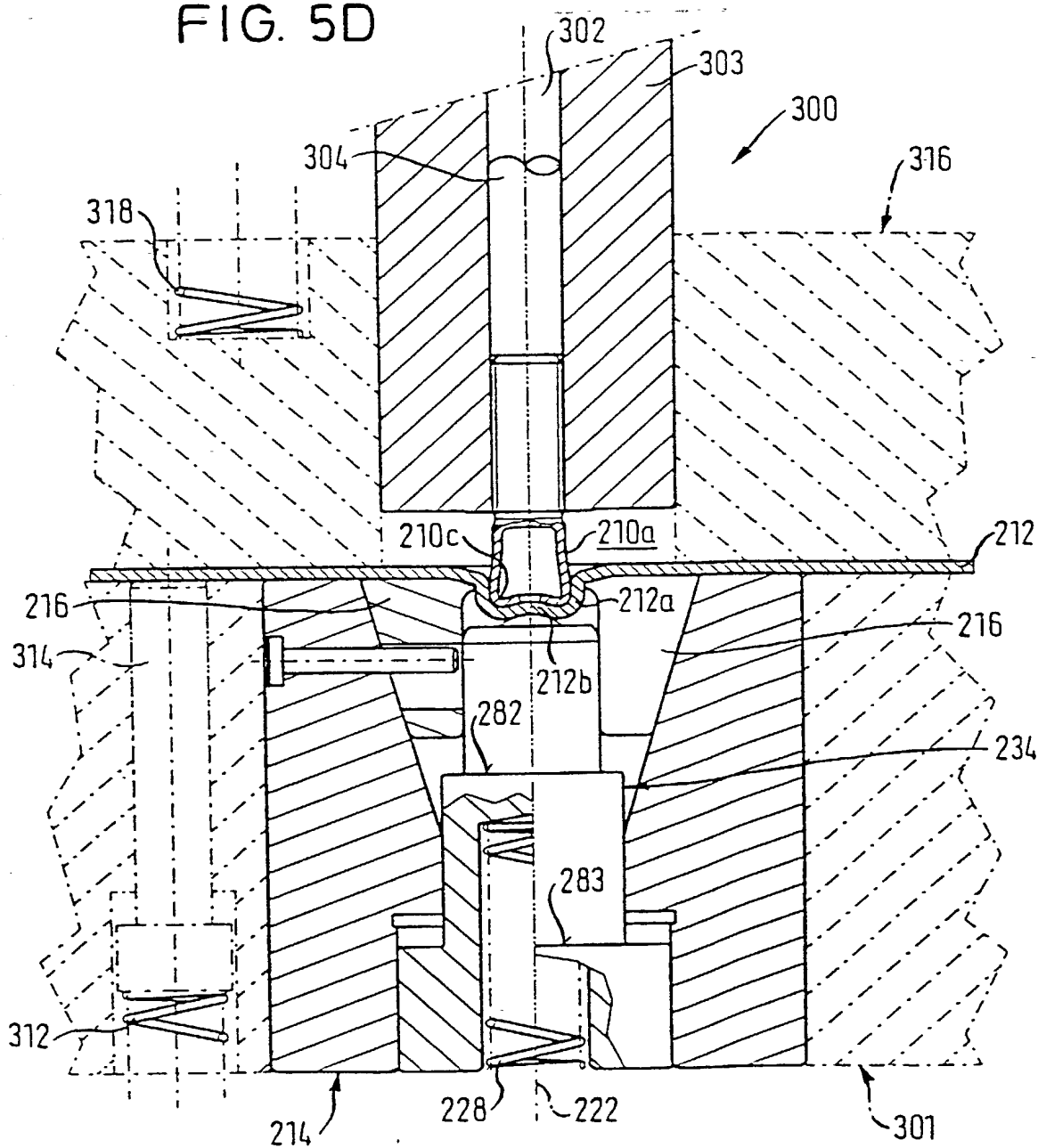
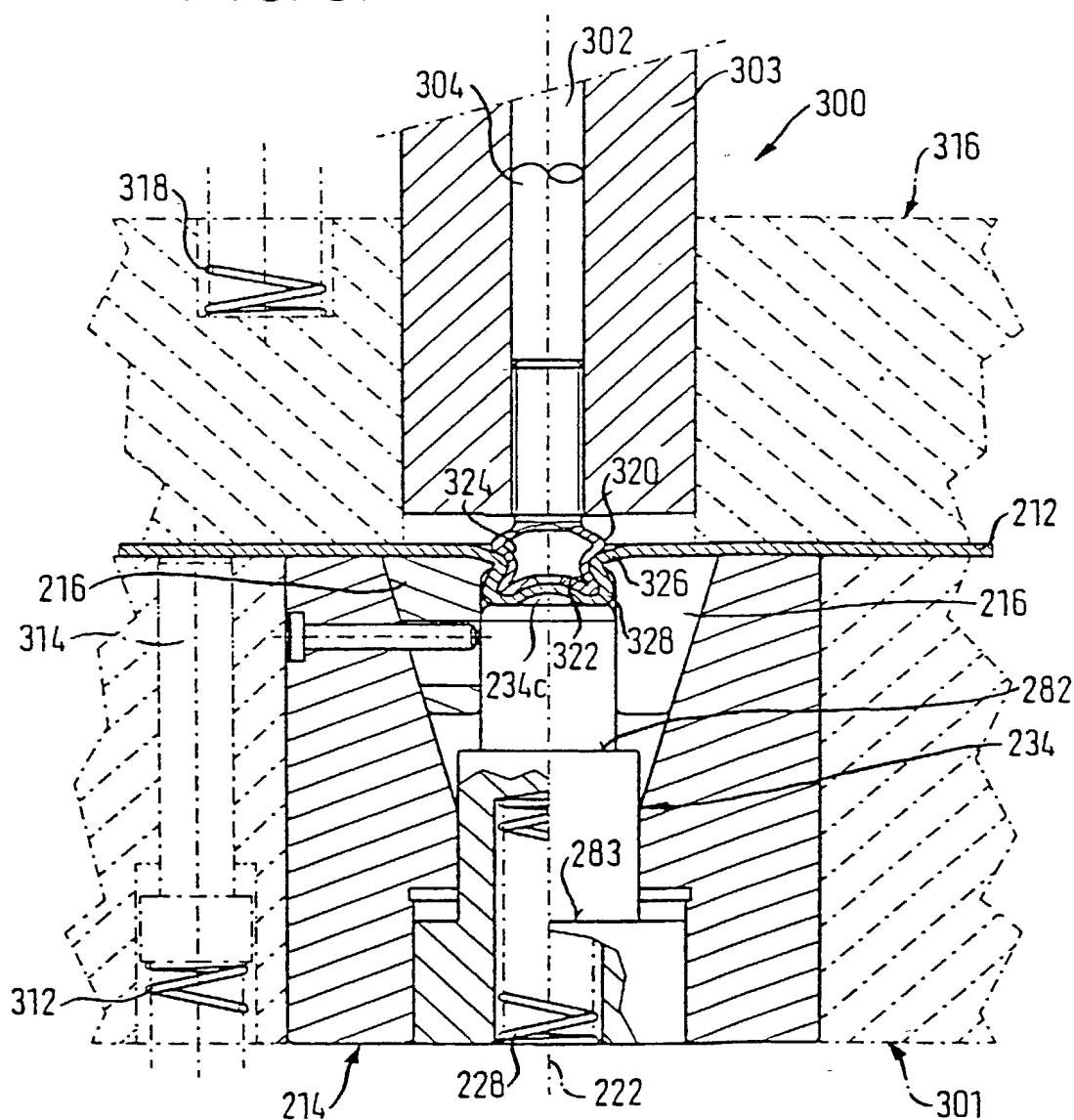


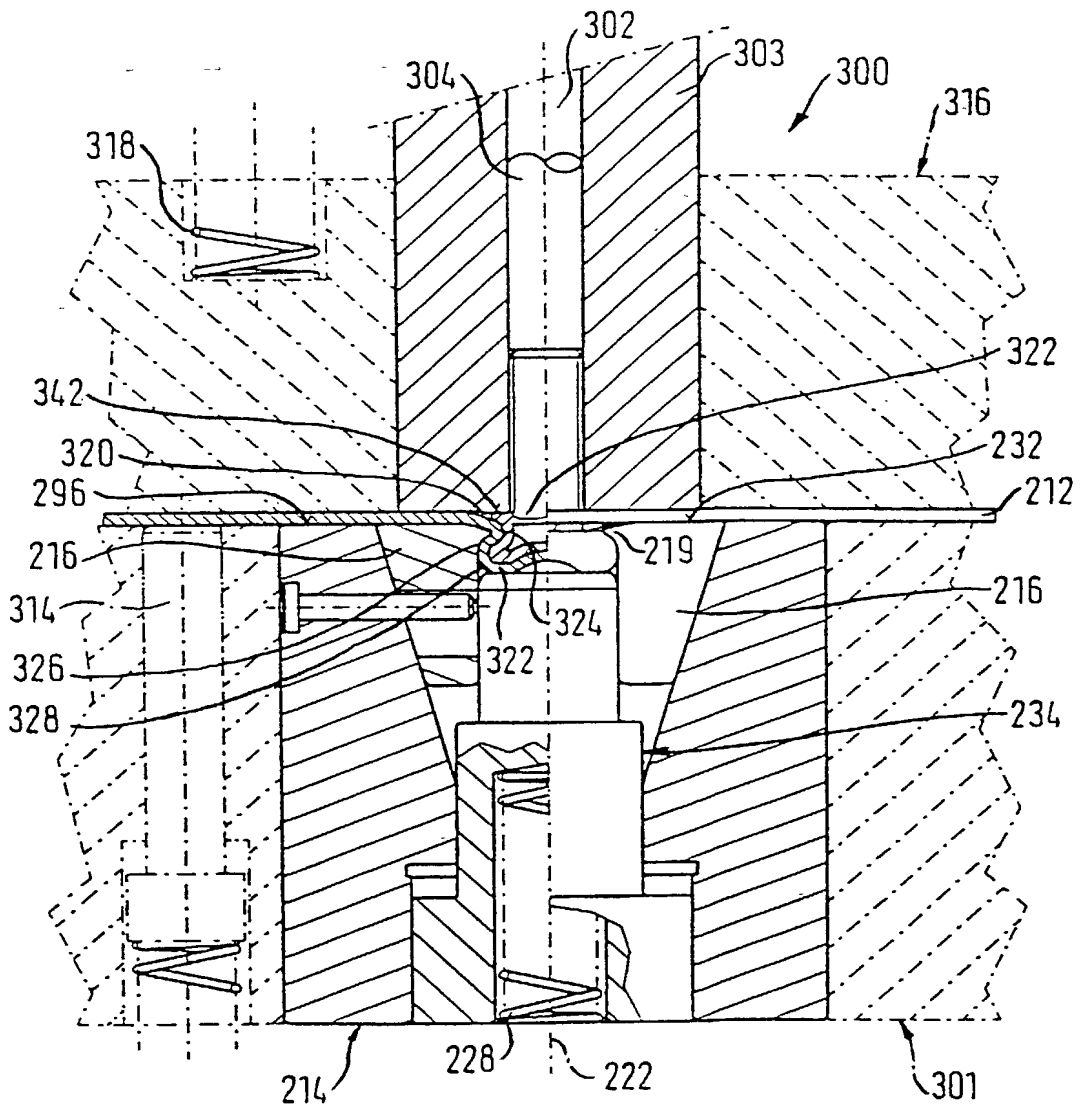


FIG. 5F



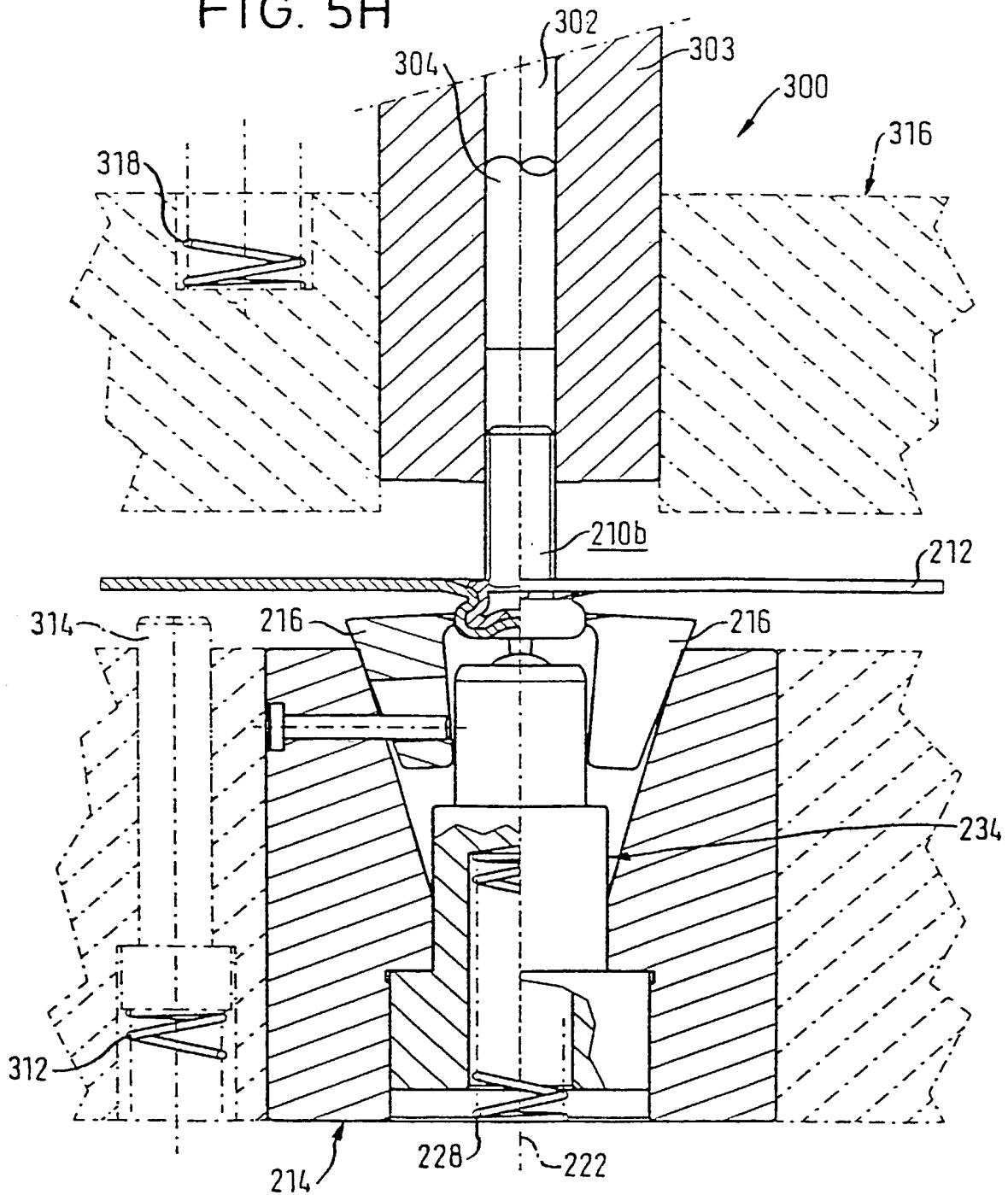
10 / 12

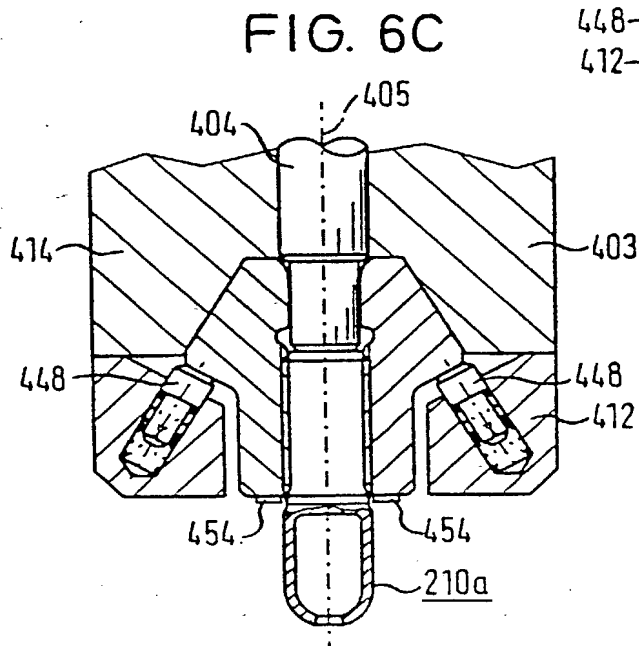
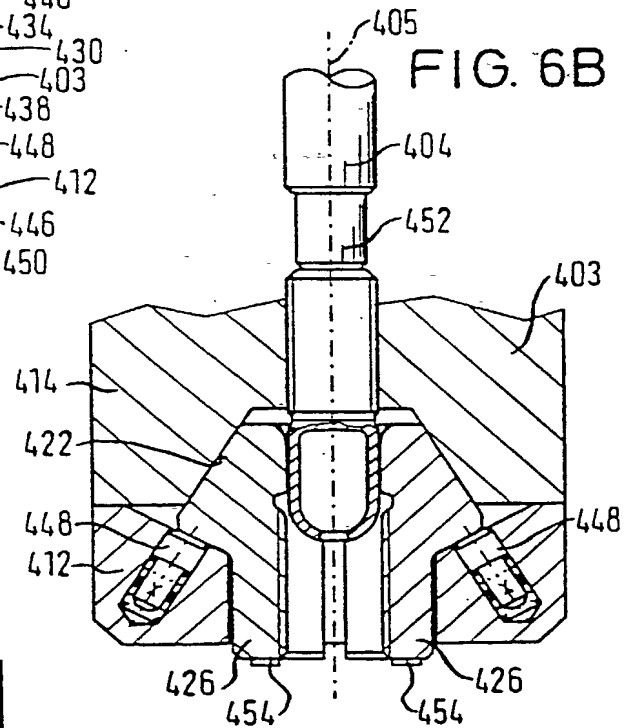
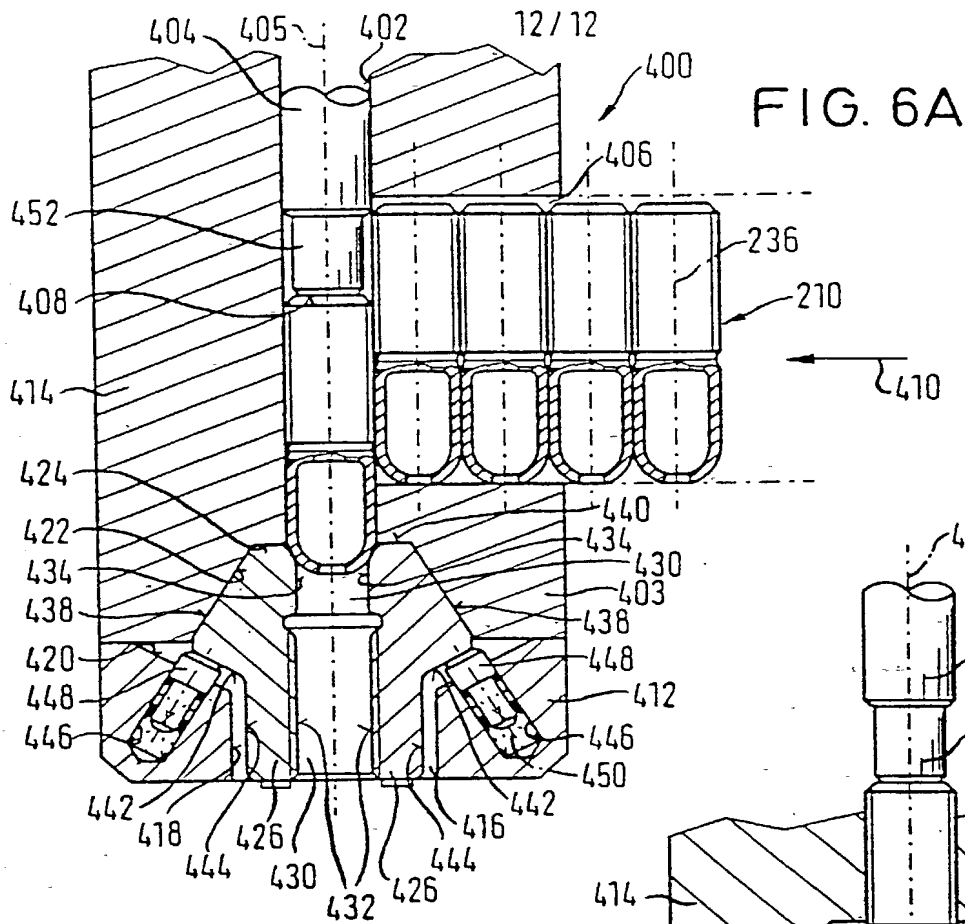
FIG. 5G



11/12

FIG. 5H





**COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR UNITED STATES PATENT APPLICATION**

01/019930

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated near my name below.

I believe I am **the original, first and sole inventor** of the subject matter of which is claimed and for which a patent is sought on the invention entitled:

**METHOD FOR PLACING A FUNCTIONAL ELEMENT; DIE FUNCTIONAL  
ELEMENT; ASSEMBLY ELEMENT AND DIE ARRANGEMENT**

which is described and claimed in the specification of which:

\_\_\_ is executed on even date herewith; attorney docket number **docket no.**

X was filed on **January 3, 2002** as United States Application Serial No. **10/019,930**, and amended by an amendment thereto submitted therewith (if any); attorney docket number **60,174-028** and that this application was filed on **July 7, 2000** as International Application (PCT) No. PCT/EP00/06468.

I declare that this application is:

X a first filing

\_\_\_ a continuation.

\_\_\_ a continuation-in-part.

\_\_\_ a divisional.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I do not know and do not believe my invention was known or used by others in the United States of America, or patented or described in a printed publication in any country before my invention thereof

I do not know and do not believe my invention was patented or described in a printed publication in any country or in public use or on sale in the United States of America, more than one year prior to this application.

I acknowledge my duty to disclose information of which I am aware which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim that no application for patent or inventor's certificate on this invention has been filed in any foreign country or in the United States of America prior to this application by me or my legal representatives or assigns except as follows:

### PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of the foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application(s) for patent or inventor's certificate filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

     no such applications have been filed.

  X   such applications have been filed as follows:

COUNTRY	APPLICATION NUMBER	DATE OF FILING (month, day, year)	PRIORITY CLAIMED UNDER 37 USC 119
Germany	PCT/EP00/06468	July 7, 2000	

I hereby claim priority to and all the benefits under Title 35, United States Code, §119(e) of any United States provisional application(s).

  X   no such applications have been filed.

     such applications have been filed as follows:

APPLICATION NUMBER	DATE OF FILING (month, day, year)
-----------------------	--------------------------------------

I hereby claim priority to and all the benefits under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of the above identified application is not disclosed in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the filing date of this application.

  X   no such applications have been filed.

     such applications have been filed as follows:

APPLICATION NUMBER	DATE OF FILING (month, day, year)	STATUS (patented, pending, abandoned)
-----------------------	--------------------------------------	--

# POWER OF ATTORNEY

As a named inventor, I hereby appoint the following attorneys and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith with full power of substitution and revocation. (all names listed with corresponding registration numbers)

Harold W. Milton	<u>22,180</u>	David M. LaPrairie	<u>46,295</u>
William H. Honaker	<u>31,623</u>	Jeffrey A. Sadowski	<u>29,005</u>
<i>D</i> Raymond E. Scott	<u>22,981</u>	Randall L. Shoemaker	<u>43,118</u>
Gregory D. DeGrazia	<u>48,944</u>	Steven C. Wichmann	<u>37,758</u>
Samuel J. Haidle	<u>42,619</u>	James R. Yee	<u>34,460</u>
Brian D. Herrington	<u>42,659</u>	Toni L. Harris	<u>44,746</u>

Please address all correspondence and telephone calls to:

Gregory D. DeGrazia  
HOWARD & HOWARD ATTORNEYS, P.C.  
The Pinehurst Office Center, Suite 101  
39400 Woodward Avenue  
Bloomfield Hills, Michigan 48304-5151  
Telephone: (248) 723-0325

# DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

23.05.02  
Date

*hw* Name: Jiri Babej  
Address: Klosterweg 27, D-35423 Lich,  
Germany *DEX*

Post Office Address: Same

Citizenship: Germany

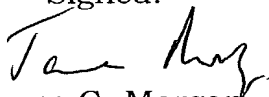
DECLARATION

I, James G. Morgan, a British subject of Markgrafenstr. 8, 81827 Munich, West Germany, do hereby declare that I am conversant with the English and German languages and that I am a competent translator thereof.

I verify that the attached English translation is a true and correct translation of the submission to the EPO of Oct. 24, 2001 with enclosures in connection with the PCT application PCT/EP00/06468.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Signed:

  
James G. Morgan

this 11th day of December 2001